

CHAPTER 75-03-21
LICENSING OF FAMILY FOSTER HOMES FOR ADULTS

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75-03-21-01. Definitions.

1. "Abuse" means the willful act or omission of a caregiver or any other individual which results in physical injury, mental anguish, unreasonable confinement, sexual abuse, or exploitation, or financial exploitation to or of a resident.
2. "Activities of daily living" means tasks of a personal nature that are performed daily and which involve such activities as bathing, dressing, toileting, transferring from bed or chair, continence, eating or feeding, and mobility inside the home.
3. "Agency" means an organization which monitors family foster homes for adults.

4. "Applicant" means the individual or individuals completing and submitting to the department an application to be licensed to provide foster care for adults.
5. "Care" means foster care for adults as defined by North Dakota Century Code section 50-11-00.1 and includes the provision of personal, nonmedical services provided to assist a resident with activities of daily living.
6. "County agency" means the county social service board in the county where the family foster home for adults is located.
7. "Department" means the North Dakota department of human services.
8. "Exploitation" means the act or process of a provider using the income, assets, or person of a resident for monetary or personal benefit, profit, gain, or gratification.
9. "Home" means a family foster home for adults.
10. "License" means a document issued by the department authorizing an applicant to operate a family foster home for adults.
11. "Licensed capacity" means maximum number of residents for which the family foster home for adults is licensed.
12. "Licensing study" means an assessment of the applicant's compliance with this chapter and North Dakota Century Code chapter 50-11.
13. "Mental anguish" means psychological or emotional damage that requires medical treatment or care, or is characterized by behavioral changes or physical symptoms.
14. "Monitoring" means overseeing the care provided to a resident by a provider and verifying compliance with laws, rules, and standards pertaining to foster care for adults.
15. "Neglect" means the failure of the provider to provide the goods or services necessary to avoid physical harm, mental anguish, or mental illness.
16. "Provider" means the primary caregiver in active charge of a family foster home for adults who has documented qualifications in providing foster care for adults and is enrolled as a qualified service provider.
17. "Qualified service provider" means an individual who has met all standards and requirements for that status established under chapter 75-03-23.

18. "Resident" means any adult who is receiving foster care in a family foster home for adults for compensation on a twenty-four-hour basis, but does not mean any other individual who lives or stays in the home.
19. "Respite care" means care provided by a respite care provider to an adult family foster care resident for the purpose of providing temporary relief to the provider from the stresses and demands associated with daily care or emergencies.
20. "Respite care provider" means an individual enrolled as a qualified service provider who provides respite care to residents, whose care is funded by the county or state, in the absence of the provider.
21. "Sexual abuse" means conduct directed against a resident which constitutes any of those sex offenses defined in North Dakota Century Code sections 12.1-20-02, 12.1-20-03, 12.1-20-03.1, 12.1-20-04, 12.1-20-05, 12.1-20-06, 12.1-20-06.1, 12.1-20-07, and 12.1-20-11.
22. "Substantial functional impairment" means a substantial inability, determined through observation, diagnosis, evaluation, or assessment, to live independently or provide self-care resulting from physical limitations.
23. "Substantial mental impairment" means a substantial disorder of thought, mood perception, orientation, or memory which grossly impairs judgment, behavior, or the ability to live independently, or provide for self-care, and which is determined by observation, diagnosis, evaluation, or assessment.
24. "Substitute caregiver" means an individual who meets qualified service provider standards and provides respite care to private pay residents in the absence of the provider.
25. "Vulnerable adult" means an adult who has substantial mental or functional impairment.
26. "Willfully" means willfully as defined in North Dakota Century Code section 12.1-02-02.

History: Effective May 1, 1992; amended effective May 1, 1995; April 1, 1999; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-02. Application.

1. An application for a license to operate a home must be made to the county agency in the county where the applicant proposes to provide foster care for adults.

2. An application must be made in the form and manner prescribed by the department.
3. A fee of twenty-five dollars must accompany the application for a license to operate a family foster home for adults. The fee will be retained by the county agency and used for training and education of the county agency staff who administer the license program.
4. An application for a license must be filed immediately upon change of provider or location.
5. An application is not complete until all required information and verifications are submitted to the department, including:
 - a. Fire inspections by the state fire marshal or local fire inspector, if required under subsection 7 of section 75-03-21-06;
 - b. A self-declaration of medical history and, when requested by the department, a report of a physician's examination;
 - c. A report of psychological examinations, when requested by the department;
 - d. Proof of age and relationship, when requested by the department;
 - e. Sanitation and safety inspection reports, when requested by the department;
 - f. Completed application form;
 - g. Drug and alcohol evaluation report, when requested by the department;
 - h. Licensing study report;
 - i. Documentation of completion of a course related to fire prevention and safety;
 - j. Fire safety self-declaration form;
 - k. Evidence that all caregivers are properly qualified to provide foster care for adults as provided in subsection 8 of section 75-03-21-08;
 - l. A successfully completed criminal background check as specified in North Dakota Century Code sections 50-11-02.4, 50-11-06.8, and 50-11-06.9; and

- m. Examples of service logs to be used to account for service time and tasks performed for each resident.

History: Effective May 1, 1992; amended effective May 1, 1995; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-01-09(6), 50-11-03

75-03-21-03. License.

1. Issuance of a license to operate a home indicates compliance with the required standards, rules, and laws at the time of issuance.
2. A license is nontransferable.
3. A license is valid only for the individual or individuals named and the premises described on the license.
4. An initial license is valid for no longer than twelve months from the date of issuance.
5. A license that is issued after the initial licensing period has expired is valid for no longer than twenty-four months from the date of issuance or the date of expiration of the provider's status as a qualified service provider, whichever occurs first.
6. A provider may obtain both a license to operate a family foster home for adults and a license as a family foster care home for children, but may not provide care to both adults and children simultaneously without prior written approval from the department.
7. If the home of a native American family, not subject to the jurisdiction of the state of North Dakota for licensing purposes, is located on a recognized Indian reservation in North Dakota, an affidavit from an agent of the tribal social welfare agency or an appropriate tribal officer may be accepted in lieu of a licensing procedure if the affidavit represents the following:
 - a. That an investigation of the home was completed by the tribe's social welfare agency or tribal council.
 - b. That the prospective home is in compliance with the standards required by North Dakota Century Code section 50-11-02 and this chapter.
8. If the home of an active duty military family, not subject to the jurisdiction of the state of North Dakota for licensing purposes, is located on a recognized military base in North Dakota, an affidavit from an agent of the base social welfare agency or other appropriate military officer may

be accepted in lieu of a licensing procedure if the affidavit represents the following:

- a. That an investigation of the home was completed by the military base's social welfare agency.
- b. That the prospective home is in compliance with the standards required by North Dakota Century Code section 50-11-02 and this chapter.

History: Effective May 1, 1992; amended effective May 1, 1995; March 1, 1997; April 1, 1999; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-04. Facility.

1. The home must be:
 - a. Free of warped or damaged floors, loose or unsecured floor coverings, loose tiles, broken or damaged windows, loose or broken handrails, broken light bulbs, and other hazards that would affect the safety of an adult residing in the home;
 - b. Maintained free of offensive odors, vermin, and dampness;
 - c. Maintained by a central heating system at a temperature of at least sixty-eight degrees Fahrenheit [20 degrees Celsius];
 - d. Maintained so as to prevent crawling and flying pests from entering the home through windows;
 - e. Equipped with handrails in all stairways;
 - f. Equipped with nonporous surfaces for shower enclosures; and
 - g. Equipped with safety mats or slip-preventing materials on the bottom of tubs and floors of showers.
2. Bedrooms for all residents must be constructed as a bedroom with walls or partitions of standard construction which extend from floor to ceiling.
3. Bedrooms occupied by one resident must have no less than seventy square feet [6.50 square meters] of usable floor space.
4. Bedrooms occupied by two residents must have no less than one hundred twenty square feet [11.15 square meters] of usable floor space.

5. Bedroom ceilings must be at least six feet and eight inches [203.20 centimeters] above the finished floor surface at the ceiling's lowest point.
6. No more than two residents may be assigned to one bedroom.
7. Bedrooms occupied by residents may not be located in a level of the home below grade level unless there are two means of egress, one of which leads to the outside of the home.
8. At least one toilet and bathing facility must be available on the same floor as any bedroom occupied by a resident.
9. The home must have a telecommunication device on the main floor available for use by residents.
10. Mobile home units used as a home must:
 - a. Have been constructed since 1976;
 - b. Have been designed for use as a dwelling, rather than as a travel trailer;
 - c. Meet the flame spread rate requirements; and
 - d. Have a manufacturer's label permanently affixed stating the mobile home meets the requirements of the department of housing and urban development or the American national standards institute.

History: Effective May 1, 1992; amended effective May 1, 1995.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-05. Sanitation.

1. Septic tanks or other nonmunicipal sewage disposal systems must comply with chapter 62-03-16, state plumbing code.
2. Rubbish, garbage, and other refuse must be stored in readily cleanable containers and removed from the home at least every second day. Rubbish, garbage, and other refuse kept outside of the home must be stored in readily cleanable, rodent-proof containers and disposed of weekly.
3. The home must be kept reasonably free of animal feces, urine, and hair.
4. Drinking water must be obtained from an approved community water system or from a source tested by a certified laboratory and approved by the state department of health. A copy of the test report must be

submitted to the department or its designee. The water and wastewater plumbing systems must comply with article 62-03, state plumbing code.

5. Milk must be obtained from an approved commercial source.

History: Effective May 1, 1992; amended effective September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-06. Safety - Inspections.

1. Pets not confined in enclosures must not present a danger to a resident or the resident's guests based upon the size, temperament, or obedience of the pet. Proof of pet vaccination is required and shall be submitted to the county agency.
2. The home must be located where a community or rural fire department is available.
3. Firearms must be stored, unloaded, in a locked cabinet. Any firearms cabinet must be located in an area of the home that is not readily accessible to residents.
4. Interior doors with a locking mechanism must be provided with a means to unlock the door from either side.
5. The heating and electrical system must be inspected for operability and safety at the time of the initial license application and periodically thereafter if requested by the department.
6. Food preparation areas, equipment, and food storage areas must be clean, free of offensive odors, and in sound working condition.
7. The department may require that the home be inspected by a local fire inspector or the state fire marshal at the time of initial license application and periodically thereafter if the department suspects that the home is not fire safe or when structural changes are made to the home.
8. Deficiencies noted during an inspection must be corrected within sixty days after the issuance of the inspection report.
9. Any fees for the inspections required by the department or costs associated with correcting deficiencies noted during an inspection must be the responsibility of the applicant or provider.

History: Effective May 1, 1992; amended effective May 1, 1995; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-07. Insurance.

1. The provider shall maintain adequate liability insurance, uninsured motorist coverage, and underinsured motorist coverage, according to the terms and conditions of North Dakota Century Code sections 39-16.1-11, 26.1-40-15.2, and 26.1-40-15.3, on all vehicles operated by the provider or members of the provider's household in which residents may be a passenger.
2. The provider shall maintain a minimum household liability insurance coverage of one hundred thousand dollars and premises medical coverage of five hundred dollars per occurrence.

History: Effective May 1, 1992; amended effective May 1, 1995.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-08. Provider qualifications.

1. The provider shall:
 - a. Be twenty-one years of age or older;
 - b. Live continuously in the home in which family foster care for adults is provided;
 - c. Possess the physical health necessary to aid residents with activities of daily living;
 - d. Be literate and capable of understanding instructions and communicating in the English language;
 - e. Be free of communicable diseases;
 - f. Be in good physical health, emotionally and functionally stable, and not abusing drugs or alcohol;
 - g. Be a qualified service provider; and
 - h. Successfully complete criminal background check requirements as specified in North Dakota Century Code sections 50-11-02.4, 50-11-06.8, and 50-11-06.9.
2. In addition to the requirements of subsection 1, the provider shall also:
 - a. Provide evidence of competence in:

- (1) The generally accepted procedure for infection control and proper handwashing methods;
- (2) The generally accepted procedure for handling and disposing of body fluids;
- (3) The generally accepted procedure for tub, shower, and bed bathing techniques;
- (4) The generally accepted procedure for hair care techniques, bed and sink shampoo, and shaving;
- (5) The generally accepted procedure for oral hygiene techniques of brushing teeth and cleaning dentures;
- (6) The generally accepted procedure for caring for an incontinent resident;
- (7) The generally accepted procedure for feeding or assisting a resident with eating;
- (8) The generally accepted procedure for basic meal planning and preparation;
- (9) The generally accepted procedure for assisting a resident with the self-administration of medications;
- (10) The generally accepted procedures and techniques, which include dusting, vacuuming, floor care, garbage removal, changing linens, and other similar tasks, for maintaining a kitchen, bathroom, and other rooms used by residents in a clean and safe condition;
- (11) The generally accepted procedures in laundry techniques, which include mending, washing, drying, folding, putting away, ironing, and related work;
- (12) The generally accepted procedure for assisting a resident with bill paying and balancing a check book;
- (13) The generally accepted procedure for dressing and undressing a resident;
- (14) The generally accepted procedure for assisting with toileting;
- (15) The generally accepted procedure for routine eye care;
- (16) The generally accepted procedure for proper care of fingernails;

- (17) The generally accepted procedure for caring for skin, including giving a back rub;
 - (18) The generally accepted procedure for turning and positioning a resident in bed;
 - (19) The generally accepted procedure for transfer using a belt, standard sit, bed to wheelchair;
 - (20) The generally accepted procedure for assisting a resident with ambulation; and
 - (21) The generally accepted procedure for making beds; or
- b. Meet developmental disability competency standards for homes in which the responsible service provider is licensed according to chapter 75-04-01 and services are provided according to chapter 75-04-07.

History: Effective May 1, 1992; amended effective May 1, 1995; April 1, 1999; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-08.1. Verification and demonstration of competence.

- 1. A physician, registered nurse, occupational therapist, physical therapist, or other individual with a professional degree in specialized areas of personal care shall verify in writing, on forms furnished by the department, that a provider is competent to perform each procedure specified in subsection 9 of section 75-03-21-08. Verification that a provider is competent to perform a procedure is evidence of competence with respect to that procedure.
- 2. Competence may be demonstrated in the following ways:
 - a. A demonstration of the procedure being performed;
 - b. A detailed verbal explanation of the procedure; or
 - c. A detailed written explanation of the procedure.

History: Effective April 1, 1999; amended effective September 1, 2004.

General Authority: NDCC 50-06-16

Law Implemented: NDCC 50-11-03

75-03-21-09. General practices. The provider shall:

1. Permit a representative of the department, county agency, or other agency serving a resident entry into the home without prior notice;
2. Provide information about the residents to the department, county agency, or other agency serving a resident with reasonable promptness;
3. Report illness, hospitalization, or unusual behavior of a resident to the agency serving the resident, or to the resident's representative, whichever is appropriate;
4. Assure that information related to the resident is kept confidential, except as may be necessary in the planning or provision of care or medical treatment, as related to an investigation or license review under this chapter, or as authorized by the resident;
5. Not practice, condone, facilitate, or collaborate with any form of illegal discrimination on the basis of race, color, sex, sexual orientation, age, religion, national origin, marital status, political belief, or mental or physical handicap;
6. Be willing to accept direction, advice, and suggestions concerning the care of residents from the department, county agency, or other agency representative serving a resident;
7. Assure that residents receiving care in the home are not subjected to abuse, sexual abuse, neglect, or exploitation;
8. Undergo a medical examination, psychological evaluation, or drug and alcohol evaluation when requested by the department or county agency when there is reason to believe that such an examination or evaluation is reasonably necessary;
9. Authorize the release of a report of any examination or evaluation, required under subsection 8, to the department or county agency;
10. Immediately report changes in the identity or number of individuals living in the home to the department or county agency;
11. Immediately report an inability to carry out the parts of a care plan for which the provider is responsible to the monitoring agency and placing agency or individual;
12. Allow a representative of the department, or its designee, to enter the premises, examine the home and records maintained with respect to the residents, and interview the residents, provider, and caregivers in order to evaluate compliance with this chapter;

13. Cooperate with the department or county agency in inspections, complaint investigations, planning for the care of a resident, application procedures, and other necessary activities, and allow access of the department, county agency, ombudsman, or other authorized individuals to the home and its residents;
14. Not retaliate against any resident, who has filed a complaint with the department or county agency, by taking away rights or privileges; threatening to take away rights or privileges; or by abusing or threatening to abuse a resident in any manner;
15. Meet criteria established by the department for employment outside of the home; and
16. Be free of influence, control, and direction in the operation of the home by the landlord if the home is being rented.

History: Effective May 1, 1992; amended effective May 1, 1995; March 1, 1997; April 1, 1999; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03, 50-11-04

75-03-21-09.1. Criminal conviction - Effect on licensure and operation of home.

1. An applicant may not be an individual who has, and may not permit an individual, except a resident, to reside in the home or act as a caregiver in the home if the individual has been found guilty of, pled guilty to, or pled no contest to:
 - a. An offense described in North Dakota Century Code chapters 12.1-16, homicide; 12.1-17, assaults - threats - coercion; or 12.1-18, kidnapping; North Dakota Century Code sections 12.1-20-03, gross sexual imposition; 12.1-20-03.1, continuous sexual abuse of a child; 12.1-20-04, sexual imposition; 12.1-20-05, corruption or solicitation of minors; 12.1-20-06, sexual abuse of wards; 12.1-20-06.1, sexual exploitation by therapist; 12.1-20-07, sexual assault; 12.1-22-01, robbery; or 12.1-22-02, burglary, if a class B felony under subdivision b of subsection 2 of that section; North Dakota Century Code chapter 12.1-27.2, sexual performances by children; or North Dakota Century Code sections 12.1-29-01, promoting prostitution; 12.1-29-02, facilitating prostitution; or 12.1-31-05, child procurement; or an offense under the laws of another jurisdiction which requires proof of substantially similar elements as required for conviction under any of the enumerated North Dakota statutes; or
 - b. An offense, other than an offense identified in subdivision a, if the department, in the case of a provider, or the provider, in the case of

a substitute caregiver, determines that the individual has not been sufficiently rehabilitated.

2. For purposes of subdivision b of subsection 1, a provider shall treat completion of a period of five years after final discharge or release from any term of probation, parole, or other form of community corrections, or imprisonment, without subsequent conviction, as prima facie evidence of sufficient rehabilitation.
3. The department has determined that the offenses enumerated in subdivision a of subsection 1 have a direct bearing on the individual's ability to serve the public in any capacity involving the provision of foster care to adults.

History: Effective April 1, 1999; amended effective September 1, 2004.

General Authority: NDCC 50-11-03

Law Implemented: NDCC 50-11

75-03-21-10. Substitute caregiver and respite care provider qualifications.

1. A substitute caregiver or respite care provider must:
 - a. Be eighteen years of age or older;
 - b. Not be a resident of the home;
 - c. Possess qualifications of a provider specified in subsections 1 and 2 of section 75-03-21-08 excluding subdivision b of subsection 1 of section 75-03-21-08; and
 - d. Successfully complete criminal background check requirements as specified in North Dakota Century Code sections 50-11-02.4, 50-11-06.8, and 50-11-06.9.
2. The provider is responsible for the foster care of residents at all times, even though the duties or tasks of furnishing resident care have been delegated to a substitute caregiver or respite care provider.
3. Respite care providers who are caring for residents whose services are funded by the county or state are limited to the respite care service funding cap. Adult family foster care residents whose care is being paid for by the county or state can only receive respite care from an individual who is enrolled as a qualified service provider of respite care by the department. Respite care providers must bill the department for time spent caring for residents whose care is being paid for by a county or state agency.

4. Substitute caregivers or respite care providers who are providing care to private pay residents may not be left in charge of the home for more than sixty calendar days during the twenty-four-month period immediately following the date of issuance of the license or for more than thirty days during the twelve-month period immediately following the date of the issuance of the initial license.
5. For purposes of this section, whenever a substitute caregiver or respite care provider is left in charge of a family foster home for adults for more than eight hours during a calendar day, the calendar day will be counted toward the sixty-calendar-day or thirty-calendar-day limit a substitute caregiver or respite care provider may be in charge of a family foster care home for adults.
6. Employing individuals other than those who meet the definition of substitute caregiver or respite care provider to provide services to adult family foster care residents is prohibited.

History: Effective May 1, 1992; amended effective May 1, 1995; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-11. Meals and nutrition.

1. Three meals must be served daily.
2. There may be no more than fourteen hours between the conclusion of the evening meal and service of breakfast.
3. Each meal must be nutritious and well-balanced in accordance with the recommended dietary allowances of the food and nutrition board of the national research council, national academy of sciences.
4. Adequate amounts of food must be available at all meals.
5. The special dietary needs of the residents must be considered in all menu planning, food selection, and meal preparation.
6. Consideration must be given to residents' cultural, ethnic, and religious backgrounds in food preparation.
7. Meals must be regularly and routinely prepared in the home where the residents live.

8. Charges imposed for resident meals provided by individuals or facilities other than the provider must be paid by the provider unless the provider made a meal available at the home.

History: Effective May 1, 1992; amended effective May 1, 1995; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-12. Preadmission information and house rules. The provider shall furnish each prospective resident, or the resident's conservator, guardian, relative, or other individual responsible for placement, the following information prior to entering the home:

1. Any restrictions and limitations on the use of alcohol and tobacco;
2. Any restrictions and limitations on the use of the telephone;
3. Sample menus of meals served;
4. Procedure concerning the use and management of resident funds;
5. Procedure used for billing, collecting, and reimbursing the charge for board, room, and care;
6. Policies concerning the furnishing of nonemergency resident transportation by the provider;
7. A statement of other relevant house rules with which the resident will be expected to comply; and
8. Accurate and complete information regarding the extent and nature of the care available from the provider.

History: Effective May 1, 1992; amended effective May 1, 1995; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-13. Termination of care.

1. The provider shall terminate care of a resident when such care is no longer required or when the provider is no longer qualified to provide the care needed by the resident receiving care.
2. The provider who anticipates the termination of care to a resident shall provide the resident and the resident's representative, if any, with at least thirty days' written notice of the termination, and shall refer or

transfer the resident to a setting more appropriate to the resident's needs.

History: Effective May 1, 1992; amended effective May 1, 1995.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-14. Action on license application. The department may deny or grant a license. A license may be granted subject to such reasonable limitations, restrictions, or conditions as the department may determine to be necessary and appropriate to assure safety and adequate care for residents for which application has been made.

History: Effective May 1, 1992.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-15. Bases for license action - Permitting operation after notice of revocation. The department may revoke or deny a license for any of the reasons permitted in law, or any combination of reasons. A revocation or denial based on more than one reason must be affirmed, on appeal, if the evidence supports any reason given for revocation or denial. A provider who receives a notice of revocation, and who makes a timely appeal of that notice, may continue to operate the home pending a final administrative appeal decision, unless the revocation is based upon reasons which present an imminent danger to the health, welfare, or safety of residents receiving care in the home or unless the license expires.

History: Effective May 1, 1992.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-16. Denial or revocation of license. The denial of an application or the revocation of an adult family foster care license applies to all individuals who applied to be licensed at the home or who are listed as providers on the license. An application for a license may be denied or a license may be revoked if:

1. Any conditions set forth in North Dakota Century Code section 50-11-02 as prerequisites for issuance of the license do not exist;
2. The application contains false or misleading material information or the applicant intentionally withholds material information;
3. The license was issued upon false, misleading, or intentionally withheld material information;
4. A licensee, caregiver, employee, or an agent of the facility has violated a provision of this chapter or any of the rules of the department;

5. An applicant, licensee, caregiver, employee, or agent of the facility has been convicted of an offense determined by the department to have a direct bearing upon the individual's ability to serve the public or residents of the facility, or the department determines, following conviction of any other offense, the individual is not sufficiently rehabilitated under North Dakota Century Code section 12.1-33-02.1;
6. The home, or the premises proposed for the home, is not being or will not be maintained according to this chapter;
7. The home, or the premises proposed for the home, is not in sanitary condition or properly equipped to provide good care for all residents who may be received;
8. The provider or proposed provider is not properly qualified to carry out the duties required;
9. The home, or the premises proposed for the home, is not being conducted or is not likely to be conducted for the public good in accordance with sound public policy and with due regard for the health, morality, and well-being of all residents cared for; or
10. The provider or proposed provider is not a reputable and responsible individual.

History: Effective May 1, 1992; amended effective May 1, 1995; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-17. Distribution of notice of denial or revocation. A copy of a notice of revocation or a notice of denial of a license application may be provided to any resident, any individual who resides in a place under circumstances which may require that place to be licensed as a home for care of that individual, to any guardian, conservator, placement agency, or individual making placement of such a resident or individual, and to any placement agency which has placed residents for care in the home or in other licensed homes in the region.

History: Effective May 1, 1992; amended effective September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-18. Reapplication after denial or revocation. A provider or proposed provider whose license has been revoked or whose license application has been denied may not reapply, without the written permission of the department:

1. Until a final, unappealable determination has been made with respect to the denial or revocation; and

2. For two years after the date of an unappealed denial of a license application or an unappealed revocation of a license, or the date of a final, unappealable decision affirming the appealed denial of a license application or appealed revocation of a license, whichever occurs latest.

History: Effective May 1, 1992.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-19. Provisional license. The department may issue a provisional license to an applicant who has previously held an unrestricted license.

1. Any provisional license issued must be accompanied by a written statement identifying in what respect the applicant or the home does not comply with North Dakota Century Code chapter 50-11 and rules governing the provision of foster care for adults, signed by the regional director of the human service center, or the director's designee, and, in writing, be acknowledged by the provider.
2. The applicant shall comply with North Dakota Century Code chapter 50-11 and the rules of the department within the period of time the provisional license is in effect.
3. A provisional license must:
 - a. Prominently state that the home has failed to comply with all applicable laws and rules of the department;
 - b. State that the items of noncompliance are set forth in a written statement available upon request made to the operator;
 - c. Expire on a set date, not to exceed six months from the date of issuance; and
 - d. Be replaced by an unrestricted license, if the applicant demonstrates compliance satisfactory to the department with all applicable laws and rules within the period of time the provisional license is in effect.
4. A provisional license must be issued only to an applicant who has, in writing, waived:
 - a. The right of a written statement of changes as to the reasons for the denial of an unrestricted license; and
 - b. The right to an administrative hearing, in the manner provided in North Dakota Century Code chapter 28-32, concerning the denial

of an unrestricted license either at the time of application or during the period of operation under a provisional license.

5. Subject to the exceptions contained in this section, a provisional license is equivalent to an unrestricted license.

History: Effective May 1, 1995.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-20. Time period for correcting deficiencies. The following time periods are established for correction of deficiencies identified in a correction order:

1. For a deficiency requiring a provider or substitute caregiver to provide written documentation of qualifications in providing foster care for adults, a period of up to thirty days must be allowed to correct the deficiency;
2. For a deficiency that requires an inspection for compliance with fire, safety, and sanitation, a period of up to thirty days must be allowed to correct the deficiency;
3. For a deficiency that requires obtaining and providing the results of a drug and alcohol evaluation, psychological evaluation, or a physical examination, a period of up to sixty days must be allowed to correct the deficiency;
4. For deficiencies that require building remodeling, renovation, or change, a period of up to sixty days must be allowed to correct the deficiency;
5. For all other deficiencies, a period of up to thirty days must be allowed to correct the deficiency;
6. All time periods must commence with the date the correction order is received by the provider; and
7. The department may grant extensions for a period of one-half the original allowable time to correct a deficiency upon demonstration by the provider that the need for an extension is created by circumstances beyond the control of the provider and that the provider has diligently pursued the correction of the deficiency.

History: Effective May 1, 1995; amended effective September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-21. Penalties. A licensed operator, if issued a notice of noncompliance with a correction order, must be assessed fiscal sanctions.

1. A violation of any of the following sections subjects the licensed provider to a fiscal sanction of twenty-five dollars per day: subsections 1, 3, 4, 5, 7, and 9 of section 75-03-21-04; section 75-03-21-05; subsections 3, 4, 5, and 6 of section 75-03-21-06; subsection 4 of section 75-03-21-09; subsection 1 of section 75-03-21-10; subsections 3 and 4 of section 75-03-21-11; and subsection 1 of section 75-03-21-13.
2. A violation of any of the following sections subjects the licensed provider to a fiscal sanction of fifteen dollars per day: subsections 6 and 8 of section 75-03-21-04; subsection 13 of section 75-03-21-09; and section 75-03-21-12.
3. A violation of any other provision of this chapter not noted in subsections 1 and 2 subjects the licensed operator to a fiscal sanction of five dollars per day.

History: Effective May 1, 1995; amended effective April 1, 1999; September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03

75-03-21-22. Records. The following records must be kept and maintained for each resident in the home:

1. The resident's full name and birth date;
2. The name, address, and telephone number of the resident's legal representative when one exists and an emergency contact;
3. Names, addresses, and telephone numbers of individuals who can assume responsibility or consent to health care under North Dakota Century Code section 23-12-13 for the resident if the legal representative cannot be reached immediately in an emergency;
4. The daily personal care needed and provided to the resident and the name of the individual or individuals who provided the care; and
5. A record of any matter required to be reported under subsection 3 of section 75-03-21-09 and of any accident resulting in injury to a resident.

History: Effective May 1, 1995; amended effective September 1, 2004.

General Authority: NDCC 50-06-16, 50-11

Law Implemented: NDCC 50-11-03